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**EDUCATION**

Georgetown University Law Center

LLM

University of Michigan Law School

JD

Michigan State University

BA

**ADMISSIONS**

District of Columbia

Illinois

## George Sepsakos

### Principal | Fiduciary Practice Leader

George Sepsakos represents clients on a broad range of ERISA, federal tax and securities law matters. His practice is primarily focused on issues related to Title I of ERISA, including fiduciary responsibility and prohibited transaction issues. For example, George's practice includes:

Regularly defending plan trustees, fiduciaries, and service providers in investigations and enforcement proceedings brought by the Department of Labor.

Representing clients in front of the Department of Labor (DOL), Internal Revenue Service (IRS) and Securities and Exchange Commission (SEC), seeking advisory opinions, prohibited transaction exemptions, no action letters, and other guidance.

Counseling banks, broker-dealers, recordkeepers, investment managers and advisers and other financial services companies on the structuring and marketing of retirement plan services and products.

Advising clients on compliance with DOL's final regulation defining the term "fiduciary."

Regularly counseling large employers and plan committees to develop processes and best practices regarding plan investments, including the acquisition and management of employer securities, and plan governance matters.

Conducting reviews and internal audits of plan governance and administrative practices.

George is a frequent speaker and author on a range of employee benefits related topics. Prior to joining the firm, George worked as an ERISA Enforcement Advisor within the Office of Enforcement of the Department of Labor Employee Benefits Security Administration.

## **PREVIOUS EXPERIENCE**

- Department of Labor, Employee Benefits Security Administration

## **PROFESSIONAL AFFILIATIONS**

- Vice-Chair, ABA Tort Trial and Insurance Practice Section, Employee Benefits Committee

## **HONORS & DISTINCTIONS**

- Listed, *The Best Lawyers in America*, Employee Benefits (ERISA) Law (2024-2025)