

Events

Groom Webinar: Investigations & Enforcement – Processes, Procedures, Policies & Proper Practices (October 26, 2021)

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Through their authority to conduct audits, the IRS, DOL, the PBGC, HHS, CMS, and other federal and state agencies that regulate benefit plans set specific priorities and identify certain areas of focus to evaluate compliance. However, as demonstrated by recent heightened activity, investigations and enforcement actions can be triggered for a variety of reasons and in a number of ways, presenting a host of challenges for plan sponsors, fiduciaries, service providers and other professionals on how to handle.

In this webinar, our panel of experts will discuss strategies for navigating plan audits and investigations. Topics will include:

- The lifecycle of an investigation
- Evolving enforcement patterns
- What to do if an agency alleges a violation
- Settling investigations